

STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT

IN THE MATTER OF: DAVID J. MEMMOTT

FILE NO. 0400490

ORDER TO DISMISS

TO THE RESPONDENT: David J. Memmott
(CRD#: 1214587)
11 Park Lane
New Canaan, Connecticut 06840


C/o Anthony Taggart
Executive Director & Counsel
Morgan Stanley & Co., Incorporated
1585 Broadway
New York, New York 10036-8293

WHEREAS, the Securities Department has entered into a Settlement Agreement with the Respondent that resolves the allegations in the Notice of Hearing;

IT IS HEREBY ORDERED:

1. The Notice of Hearing in this matter is DISMISSED without prejudice.
2. The Department shall retain jurisdiction over this proceeding for the sole purpose of enforcing the terms and provisions of the Settlement Agreement referenced herein.

ENTERED This 31st day of May 2005


JESSE WHITE
Secretary of State
State of Illinois